

Financial Services Guide

June 2024

FSG version 1.0

This Financial Services Guide (**FSG**) is provided by:

- US Masters Responsible Entity Limited (ABN 79 672 783 345, AFSL 553794) (**US Masters RE**)

References to 'we', 'us' and 'our' refer to each of the above entities.

This FSG is an important document regarding who we are, the services and products we are authorised to provide, how we and other relevant parties are remunerated, any potential conflicts of interest we may have and our internal and external dispute resolution procedures and how you can access them.

Before acquiring a financial product through us we will give you information about that product to help you make an informed decision about it, which may include a disclosure document prepared by the product issuer such as a Product Disclosure Statement (PDS), Prospectus or other offer document. These disclosure documents will contain information that will assist you in making an informed decision about the product. This usually includes information about the costs and charges that may apply.

We will not provide personal financial product advice to retail clients. Accordingly, you should not expect a Statement of Advice (SOA) from us. Before you make any investment decision, we recommend that you obtain investment advice tailored to address your individual objectives, financial situation and needs from a licensed financial adviser.

We will only provide general advice to retail clients. General advice does not take into account your objectives, financial situation or needs. A person that provides general advice must warn the client that the advice does not take into account your objectives, financial situation or needs. Where we provide general advice we will also generally provide you with a general advice warning. The general advice warning will be given in the same manner as the advice is provided (for example in writing or verbally).

What financial services and products are we authorised to provide?

WE ARE AUTHORISED TO:

Operate certain kinds of registered managed investment schemes

Provide custodial or depository services (other than IDPS) to retail and wholesale clients

Provide general financial product advice to retail and wholesale clients about:

Basic deposit products

Deposit products other than basic deposit products

Derivatives

Foreign exchange contracts

General insurance products

Debentures, stocks or bonds issued or proposed to be issued by a government

Interests in managed investment schemes (excluding IDPS)

Securities

Provide financial product advice to wholesale clients about:

Basic deposit products

Deposit products other than basic deposit products

Derivatives

Foreign exchange contracts

General insurance products

Debentures, stocks or bonds issued or proposed to be issued by a government

Interests in managed investment schemes (excluding IDPS)

Securities

WE ARE AUTHORISED TO:

Deal in a financial product by issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following financial products for retail and wholesale clients:

Derivatives

Foreign exchange contracts

Interests in managed investment schemes (excluding IDPS)

Securities

Interests in own managed investment schemes

Deal in a financial product by applying for, acquiring, varying or deposing of a financial product on behalf of another person in respect of the following classes of products for retail and wholesale clients:

Basic deposit products

Deposit products other than basic deposit products

Derivatives

Foreign exchange contracts

General insurance products

Debentures, stocks or bonds issued or proposed to be issued by a government

Interests in managed investment schemes (excluding IDPS)

Securities

Underwriting to retail and wholesale clients:

An issue of securities

Interests in a managed investment scheme

Remuneration

US Masters RE receives fees and other benefits for the services and products it provides.

The fees and benefits may be received in various ways, including entry fees, capital and debt raising fees, asset acquisition and disposal fees, management fees, performance fees and transaction costs. US Masters RE may also provide product issuer services for those funds or investment companies and may receive fees in relation to the applications received.

US Masters RE receives fees and other benefits from these funds which may include entry fees, capital and debt raising fees, structuring and handling fees, responsible entity fees, administration fees and transaction costs.

The fees and other benefits that we receive in relation to each product are set out in the relevant PDS, Prospectus or other disclosure document, announcements or website relating to that fund or investment company. Typically, investment management fees will be calculated as a percentage of the value of the portfolio of assets managed; performance fees are calculated according to the outperformance of the relevant investment vehicle relative to the selected index and the relevant hurdle, if any; responsible entity and administration fees will be calculated as a percentage of the assets of the fund; and product issuer fees will be calculated as a percentage of funds raised.

Our staff are paid a salary by US Masters RE and may be entitled to receive discretionary bonuses and non-monetary benefits. These bonus payments are not an additional cost to you.

We may pay stamping or service fees or provide benefits to financial services intermediaries where the law permits us to do so and may provide benefits to related parties as detailed under the heading 'Associations and related parties' below.

Personal Information

As financial services providers, US Masters RE may collect information about you because we are required, or authorised to, by law. We are committed to implementing and promoting a Privacy Policy, and any information collected will be handled in accordance with that policy. A copy of our Privacy Policy can be obtained by visiting our website [here](#), or can be provided to you, free of charge upon request.

Providing instructions to us

In providing financial services we act on your instructions which may be provided to us in writing, by telephone, fax, email or any other form of communication.

Complaints

If you have a complaint or concern about the service provided to you, please contact our Complaints Resolution Officer. Once we receive your complaint, we will investigate the matter and endeavour to address it as quickly as possible.

For more details on the US Masters RE Complaints Handling Policy, please refer to our website or ask us for a copy.

Complaints Resolution Officer

Email: URFInvestorRelations@usmrpf.com.au;

Attention to: Complaints Resolution Officer

We expect that we will be able to completely resolve any issues you raise. If, despite our best efforts, you believe your complaint has not been satisfactorily dealt with, or if you have not received a response within 30 calendar days, you may wish to refer your complaint to the independent External Dispute Resolution Scheme:

Australian Financial Complaints Authority

Online: www.afca.org.au

Email: info@afca.org.au

Phone: 1800 931 678

Post: GPO Box 3, Melbourne VIC 3001

Please note that US Masters RE is a member of AFCA. Membership number 106128.

Professional Indemnity Insurance

US Masters RE has professional indemnity insurance in place that covers the financial services they provide. This cover is subject to terms and exclusions and meets the requirements under Section 912B of the Corporations Act 2001 (Cth) and ASIC policy guidance. Cover is included for claims made in relation to the conduct of representatives who no longer work for us (but who did at the time of the relevant conduct).

How you can contact us

If you have any questions about the financial services we provide, please contact us at:

Email: URFInvestorRelations@usmrpf.com.au

Inside Australia: 1300 454 801

Outside Australia: +61 3 9691 6110